

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: G. Stephen LeGraw  
Serial No.: 09/754,492  
Filed: January 4, 2001  
Date Due: January 29, 2002  
Title: INFORMATION TRADING SYSTEM AND METHOD

Examiner: Unknown  
Group Art Unit: 2161  
Docket No.: S144.101.102



G-P 2161

4

Commissioner for Patents  
Washington, D.C. 20231

Sir/Madam:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet containing Certificate of Mailing (1 pg.).
- ☒ Supplemental Information Disclosure Statement (1 pg.).
- ☒ Form PTO-1449 (1 pg.).
- ☒ A copy of (3) U.S. Patent Document(s); (0) Foreign Document(s) and (1) Other Art Document(s).
- ☒ Return Postcard.

RECEIVED  
JAN 22 2002  
Technology Center 2100

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. At any time during the pendency of this application, please charge any additional fees or credit overpayment to Deposit Account No. 500471.

DICKE, BILLIG & CZAJA, P.A.  
701 Building, Suite 1250  
701 Fourth Avenue South  
Minneapolis, MN 55415

By: Steven E. Dicke  
Name: Steven E. Dicke  
Reg. No.: 38,431

**CERTIFICATE UNDER 37 C.F.R. 1.8:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C., 20231 on this 21 day of November, 2001.

By: Steven E. Dicke  
Name: Steven E. Dicke

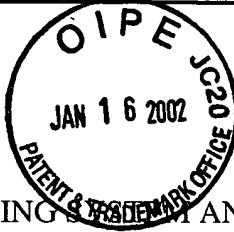
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: G. Stephen LeGraw

Serial No.: 09/754,492

Filed: January 4, 2001

Title: INFORMATION TRADING SYSTEM AND METHOD



Examiner: Unknown

Group Art Unit: 2161

Docket: S144.101.102

**CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir/Madam:

Applicant respectfully requests consideration of these references during prosecution of the above-identified matter. Applicant certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this Communication or the tendered references.

Respectfully submitted,

G. Stephen Legraw

By his attorneys,  
DICKE, BILLIG & CZAJA, P.A.  
701 Building, Suite 1250  
701 Fourth Avenue South  
Minneapolis, MN 55415  
Telephone: (612) 573-2002  
Facsimile: (612) 573-2005

Dated: November 21, 2001  
SED:jan

Steven E. Dicke  
Steven E. Dicke  
Reg. No. 38,431

**CERTIFICATE UNDER 37 C.F.R. 1.8:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C., 20231 on this 21 day of November, 2001.

By Steven E. Dicke  
Name: Steven E. Dicke

RECEIVED  
JAN 22 2002  
Technology Center 2100